

# Social intelligence in the normal and autistic brain: an fMRI study

Simon Baron-Cohen, Howard A. Ring,<sup>1</sup> Sally Wheelwright, Edward T. Bullmore,<sup>2</sup> Mick J. Brammer,<sup>2</sup> Andrew Simmons<sup>3</sup> and Steve C. R. Williams<sup>3</sup>

Departments of Experimental Psychology and Psychiatry, University of Cambridge, Downing Street, Cambridge CB2 3EB, UK

<sup>1</sup>Academic Department of Psychological Medicine, St Bartholomew's and the Royal London School of Medicine, Whitechapel, London E1 1BB, UK

<sup>2</sup>Department of Biostatistics and Computing, Institute of Psychiatry, University of London, Denmark Hill, London SE5 8AF, UK

<sup>3</sup>Neuroimaging Research, Department of Clinical Neuroscience, Institute of Psychiatry, University of London, Denmark Hill, London SE5 8AF, UK

## Abstract

There is increasing support for the existence of 'social intelligence' [Humphrey (1984) *Consciousness Regained*], independent of general intelligence. Brothers [(1990) *J. Cog. Neurosci.*, **4**, 107–118] proposed a network of neural regions that comprise the 'social brain': the orbito-frontal cortex (OFC), superior temporal gyrus (STG) and amygdala. We tested Brothers' theory by examining both normal subjects as well as patients with high-functioning autism or Asperger syndrome (AS), who are well known to have deficits in social intelligence, and perhaps deficits in amygdala function [Bauman & Kemper (1988) *J. Neuropath. Exp. Neurol.*, **47**, 369]. We used a test of judging from the expressions of another person's eyes what that other person might be thinking or feeling. Using functional magnetic resonance imaging (fMRI) we confirmed Brothers' prediction that the STG and amygdala show increased activation when using social intelligence. Some areas of the prefrontal cortex also showed activation. In contrast, patients with autism or AS activated the fronto-temporal regions but not the amygdala when making mentalistic inferences from the eyes. These results provide support for the social brain theory of normal function, and the amygdala theory of autism.

## Introduction

Social intelligence encompasses our abilities to interpret others' behaviour in terms of mental states (thoughts, intentions, desires and beliefs), to interact both in complex social groups and in close relationships, to empathize with others' states of mind, and to predict how others will feel, think and behave. The idea that social intelligence might be independent, or dissociable from, general intelligence comes from several sources. First, individuals exist who are capable of considerable understanding of the non-social world (e.g. physics, maths, engineering) yet who readily admit to finding the social world confusing (Baron-Cohen *et al.*, in press; Sacks, 1994). The opposite type of individual also exists: people who have no difficulty interacting with the social world but who find non-social problem-solving confusing (Karmiloff-Smith *et al.*, 1995). Secondly, certain kinds of brain damage can cause selective impairment in social judgement (Damasio *et al.*, 1990) without any necessary loss to general problem-solving ability. Loss of social judgement can co-occur with memory and executive dysfunction, following amygdala damage (Tranel & Hyman, 1990), but the functional double dissociation between social and non-social intelligence implies their neural independence. Finally, many primatologists now believe that social problem-solving was a key driving force behind the evolution of primate intelligence, rather than tool-use or other non-social problem solving (Whiten, 1991).

A neural basis of social intelligence was first proposed by Brothers (1990). She suggested from both animal lesion studies (Kling & Brothers, 1992), single-cell recording studies (Brothers *et al.*, 1990) and neurological studies (cited above) that this involves the amygdala, orbito-frontal cortex (OFC) and superior temporal gyrus (STG). Together, she postulated that these comprise the 'social brain'. Damage to the amygdala impairs judgement of emotion (Calder *et al.*, 1996), damage to the OFC impairs judgement of what is socially appropriate (Eslinger & Damasio, 1985), and damage to the STG impairs face-perception (Campbell *et al.*, 1990). Single-cell recording studies in non-human primates also confirm the role of the STG in detection of gaze (Perrett *et al.*, 1985). Recent PET and SPECT studies of 'theory of mind' (or the ability to impute mental states) also implicate areas of prefrontal cortex, specifically the medial frontal cortex (MFC, Fletcher *et al.*, 1995; Goel *et al.*, 1995) and the OFC (Baron-Cohen *et al.*, 1994).

The present fMRI study had two main aims. (i) To test Brothers' social brain theory that these neural regions, identified independently from several different studies and methods of investigation, are jointly activated in a group of normal subjects performing a novel social intelligence test. (ii) To test the validity of this neural model of social intelligence by comparing normal cerebral blood oxygenation changes induced by performance of this task with hypothetically abnormal changes in a group of patients with high-functioning autism or Asperger syndrome (AS), known to have social impairment (Baron-Cohen & Ring, 1994). In particular, we predicted abnormal amygdala activation in the autism group<sup>1</sup>, on the basis of five lines of evidence. (i) A neuroanatomical study of autism at postmortem found microscopic pathology (in the form of increased cell density) in the

Correspondence: S. Baron-Cohen, as above.

E-mail: sb205@cus.cam.ac.uk

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amygdala, in the presence of normal amygdala volume (Bauman & Kemper, 1994; Rapin & Katzman, 1998). (ii) The only animal model of autism involves ablation of the amygdala (in rhesus monkeys) (Bachevalier, 1991). Whilst there is some dispute as to whether one can have an animal model of autism when the syndrome involves deficits in higher order cognition, this is at least consistent with the amygdala theory. (iii) Patients with amygdala lesions show impairments in social judgement (Adolphs *et al.*, 1994; Young *et al.*, 1996). (iv) Using SPECT, patients with autism spectrum conditions show significant reductions in temporal lobe blood flow, regardless of whether they have temporal lobe epilepsy (Gillberg *et al.*, 1993). (v) In cases of tuberous sclerosis, autistic co-morbidity is determined by hamartomata in the temporal lobe (Bolton & Griffiths, 1997). For all these reasons, a basic impairment of amygdala function in autism seems very plausible<sup>2</sup>.

## Materials and methods

### Subjects

Six subjects with autism (four male, two female) were matched for mean age, handedness, IQ, socioeconomic status and educational level, with 12 subjects in the normal group (six male, six female). IQ was assessed with the full Wechsler Adult Intelligence Scale (WAIS-R). Subjects were only included if their IQ was in the normal range (i.e. above 85 both in terms of full-scale IQ, and in terms of performance and verbal IQ). These variables are shown in Table 1. There were no significant differences in any of these dimensions. Individuals in the clinical group all had a diagnosis of autism or AS, using DSM-IV (APA, 1994) and ICD-10 (1994) criteria.

### Experimental design

We used a blocked periodic ABA... design. Each epoch (A or B) was presented for 30 s, and there were five cycles of AB alternation in total. Images were acquired from each subject during visual presentation of two tasks, both of which involved deriving socially relevant information from facial stimuli. This periodically designed (ABA...) experiment was expected to induce a periodic MR signal change with maximum signal during task A in brain regions relatively specialized for gender recognition from facial stimuli; and periodic MR signal change with maximum signal during task B in brain regions relatively specialized for mental state recognition from facial stimuli. The response involved a forced choice between the two words offered (pressing one of two buttons with the right hand to select the right or left word). Correct words were counterbalanced to left and right side. Because both tasks were social, either may have resulted in anomalous activation in the autism group, though we predicted abnormalities would only arise in task B.

### Method

#### Task A

Subjects were visually presented with a series of photographs of eyes and asked to indicate by right-handed button press whether each

stimulus was a man or a woman. In this first task (A: gender recognition), instructions to subjects were to decide for each stimulus which of two simultaneously presented words ('male' or 'female') best described the face. Each stimulus was presented for 5 s and was followed by a 0.75-s interval in which the screen was blank. Stimuli were drawn from 30 faces of women or men. Stimuli were presented 3.5 m from the subject, subtending visual angles of 10° horizontally and 8° vertically.

#### Task B

Subjects were presented with exactly the same stimuli but were asked to indicate by button press which of two simultaneously presented words best described the mental state of the photographed person. Thus, the key difference between the two tasks was the type of judgement the subject had to make when viewing the eyes<sup>3</sup>. Subjects were presented with an example of the stimuli before scanning. For this second task (B: theory of mind), instructions to subjects were to decide for each stimulus which of two simultaneously presented words best described what the person in the photograph was feeling or thinking. Task B is an 'advanced' theory of mind test, in that it is used with adults, and involves mind-reading.

Adults with high-functioning autism or AS, with intelligence in the normal range, show deficits on this task (Baron-Cohen *et al.*, 1997), as do parents of children with autism/AS (Baron-Cohen & Hammer, 1997). Children with William's syndrome are not impaired on this test, despite their general retardation (Tager-Flusberg *et al.*, 1998). Examples of the eyes used in the experimental condition, together with the forced choice words that appeared underneath each face, are shown in Fig. 1. Finally, as a control pretest outside the scanner, subjects were given the opportunity to pick out any words in a list of mental state words that would appear in task B that they did not recognize or understand, in which case a glossary definition was provided by the experimenter. Neither group made use of this, reflecting that the words used were relatively common, and that the adult subjects in both groups were of normal intelligence.

#### Image acquisition and analysis

Single-shot gradient echo, echoplanar images were acquired using a 1.5 Tesla GE Signa system (General Electric, Milwaukee, WI, USA) fitted with Advanced NMR hardware and software (ANMR, Woburn, MA, USA) using a standard head coil. One hundred T<sub>2</sub>\*-weighted images depicting bold contrast (Ogawa *et al.*, 1990) were acquired over 5 min at each of 14 near-axial non-contiguous 7-mm-thick planes parallel to the intercommissural (AC-PC) line, providing whole-brain coverage: TE, 40 ms; TR, 3 s; in-plane resolution, 3 mm; interslice gap, 0.7 mm. At the same session, an inversion recovery EPI dataset was also acquired from 43 near-axial 3-mm-thick slices parallel to the AC-PC line: TE, 80 ms; TI, 180 ms; TR, 16 s; in plane resolution 1.5 mm; number of signal averages = 8.

Periodic change in T<sub>2</sub>\*-weighted signal intensity at the (fundamental) experimentally determined frequency of alternation between A and B conditions (= 1/60 Hz) was modelled by the sum of a sine wave and cosine wave at that frequency. The amplitudes of the sine and cosine waves,  $\gamma$  and  $\delta$ , respectively, were estimated by pseudogeneralized least-squares fit to the movement-corrected time functional magnetic resonance imaging (fMRI) series at each voxel. The sum of squared amplitudes,  $\gamma^2$  and  $\delta^2$ , divided by its SE, provided a standardized estimate of experimentally determined power, the fundamental power quotient (FPQ, Bullmore *et al.*, 1996). The sign of  $\gamma$  indicated the phase of the periodic signal change with respect to the input function. Maps were constructed to represent FPQ and  $\gamma$  at each voxel of each observed dataset. Each

TABLE 1. Mean age and IQ ( $\pm$  SD), and handedness of subjects in the experiment

|                  | Autism           | Controls       |
|------------------|------------------|----------------|
| Age (years)      | 26.3 $\pm$ 2.1   | 25.5 $\pm$ 2.8 |
| IQ               | 108.5 $\pm$ 10.5 | 110 $\pm$ 8.5  |
| Handedness (R:L) | 6:0              | 12:0           |

observed time series was randomly permuted 10 times, and FPQ estimated as above in each randomized time series, to generate 10 randomized parametric maps of FPQ for each subject in each anatomical plane.

To construct generic brain activation maps, observed and randomized FPQ maps derived from each subject were transformed into the standard space of Talairach and Tournoux and smoothed by a two-dimensional Gaussian filter (SD=4.5 mm) (Talairach & Tournoux, 1988). The median value of FPQ at each intracerebral voxel in standard space was then tested against a critical value of the randomization distribution for median FPQ ascertained from the randomized FPQ maps. For a one-tailed test of size  $\alpha=0.0008$ , the critical value was the  $100 \times (1-\alpha)$ th percentile value of the randomization distribution. Maps of  $\gamma$  observed in each individual were likewise transformed into standard space and smoothed. The median value of  $\gamma$  was computed for each generically activated voxel.

If median  $\gamma > 0$ , that voxel was considered to be generically activated by the gender recognition task (A); if median  $\gamma < 0$ , that voxel was considered to be generically activated by the theory of mind task (B).

To estimate the difference between control and autism groups in the mean power of response to task B, we fitted the following ANOVA model at each of 1658 voxels generically activated by the ToM task in one or both of the groups:

$$FPQ_{i,j} = \mu + \beta_1 \text{Group}_j + \epsilon_{i,j}$$

Here,  $FPQ_{i,j}$  denotes the standardized power of response at the  $i$ th individual in the  $j$ th group. Group denotes a factor coding the main effects of diagnostic status. The null hypothesis of zero between-group difference in mean FPQ was tested by comparing the observed coefficient  $\beta_1$  with critical values of its non-parametrically ascertained null distribution. To do this, the elements of Group were

1



UNCONCERNED

CONCERNED

24



SYMPATHETIC

UNSYMPATHETIC

FIG. 1. Examples of the stimuli used. During task B, photographs of eyes were presented with a choice of mental state words (examples as shown); during task A the eyes were presented with a choice of the words 'male' and 'female'. (Top example: correct word in task B is 'concerned'; correct word in task A is 'female'. Bottom example: correct word in task B is 'sympathetic'; correct word in task A is 'female').

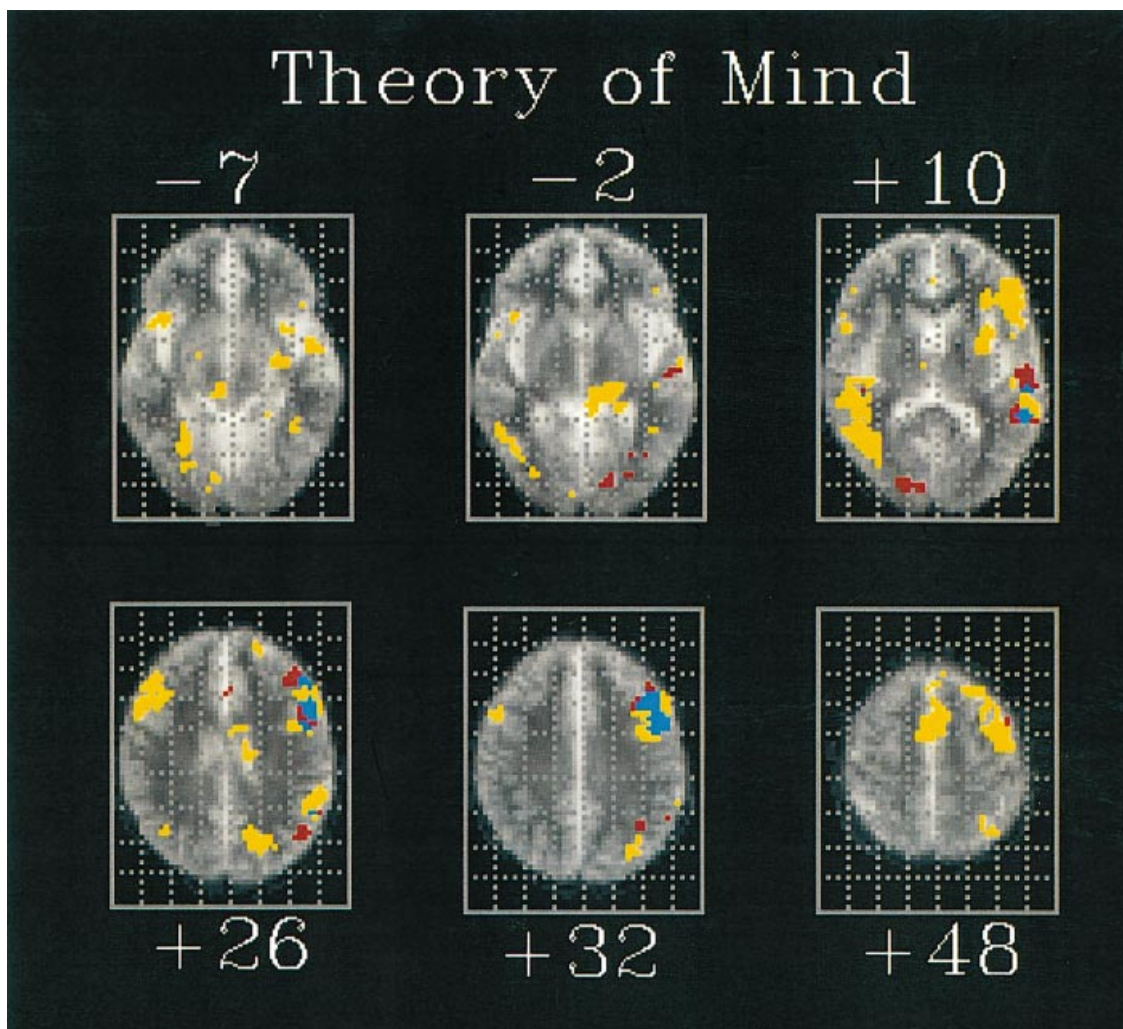


FIG. 2. Generic brain activation maps separately computed from the control and autistic group data are superimposed in standard space. Only those voxels with maximum signal during the theory of mind task are shown. Voxel-wise probability of type I error  $\alpha=0.008$  for both maps. Voxels activated in the control group only are coloured yellow; voxels activated in the autism group only are coloured red; voxels activated coincidentally in both groups are coloured blue. The right side of each map represents the left side of the brain. The  $z$  coordinates (mm) of each slice relative to the intercommissural line in the standard space (Talairach & Tournoux, 1988) are shown above or below each slice. At  $-7$  mm, the control group activated regions including bilateral insulae and left amygdala; at  $-2$  mm, the main focus of activation in the control group is located in the left parahippocampal gyrus; at  $+10$  mm, the control group demonstrates activation of the bilateral STG and left prefrontal cortex, while the autism group demonstrates less extensive activation of predominantly left sided STG; at  $+26$  and  $+32$  mm, both groups activate the left prefrontal cortex.

randomly permuted 10 times at each voxel;  $\beta_1$  was estimated at each voxel after each permutation; and these estimates were pooled over all intracerebral voxels in standard space to sample the randomization distribution of  $\beta_1$  (Brammer *et al.*, 1997). Critical values for a two-tailed test of size  $\alpha=0.01$  were the  $100*(\alpha/2)$ th and  $100*(1-\alpha/2)$ th percentiles of this distribution (Edgington, 1980). For this size of test ( $\alpha=0.01$ ) and search volume (1658 voxels), we expect no more than 16 voxels to be type I (false positive) errors<sup>4</sup>.

An analysis of variance in this context assumes that it is meaningful to characterize pathological differences in functional activation in terms of a quantitative difference in mean power of response at each voxel. This assumption has been widely adopted in previous functional imaging studies of neuropsychiatric disorder, most notably it is central to characterization of schizophrenic abnormalities of functional anatomy in terms of hypofrontality (Weinberger & Berman, 1998). There is also evidence from previous imaging studies of normal subjects that the magnitude of functional

response in a given region may be proportional to the cognitive processing load imposed by experimental design (e.g. Price *et al.*, 1996; Price & Friston, 1997). It therefore seems reasonable to interpret differences in power of functional response between control and patient groups as a proxy measure of differences in local neural processing which reflect differences in cognitive strategy imposed by disease.

## Results

Considering task performance, both the autism and normal control groups performed both tasks significantly better than chance during scanning. The control group was more accurate in both gender recognition ( $x=86\%$ ,  $SD=3.0$ ) and theory of mind ( $x=83\%$ ,  $SD=7.3$ ) than the autism group ( $x=82\%$ ,  $SD=7.5$  and  $x=74\%$ ,  $SD=1.8$  correct, respectively). For both tasks, there was a significant effect of Group, with the normal controls performing better than the

TABLE 2. Main brain regions differentially activated by theory of mind task in control (C) and autism (A) groups

| Cerebral region          | BA    | Side | Voxels (n) | x   | y   | z  | Difference | P-value |
|--------------------------|-------|------|------------|-----|-----|----|------------|---------|
| Superior temporal gyrus* | 22    | L    | 12         | -55 | -28 | 15 | A>C        | 0.004   |
| Superior temporal gyrus  | 22    | R    | 8          | 40  | -28 | 15 | A>C        | 0.002   |
| Inferior frontal gyrus†  | 44/45 | L    | 5          | -46 | 22  | 9  | C>A        | 0.001   |
| Insula                   |       | R    | 5          | 40  | 11  | -7 | C>A        | 0.001   |
| Amygdala                 |       | L    | 4          | -23 | -11 | -7 | C>A        | 0.001   |

BA, Brodmann area. \*Or Wernicke's area. †Or Broca's area.

subjects with autism or AS (ANOVA, theory of mind:  $F_{1,16}=6.1$ ,  $P=0.02$ ; gender recognition:  $F_{1,16}=15.6$ ,  $P=0.001$ ). Note that in larger sample studies, gender recognition on the eyes test is intact, whilst theory of mind is impaired, in adults with high-functioning autism or AS (Baron-Cohen *et al.*, 1997).

Functional MRI data were analysed in two stages. First, generic brain activation maps were constructed separately for the control and autism groups. These maps identified voxels demonstrating significant power of periodic signal change over all subjects in each group; they also represented differences between generically activated voxels in terms of phase of response to the experimental input function. Thus it was possible to determine which voxels were activated in each group by each of the two tasks. Second, we used ANOVA to identify voxels that demonstrated a significant difference between groups in mean power of response to each task (see Materials and methods).

Figure 2 shows the functional system activated by presentation of the theory of mind task in the control and autism groups. This system can be anatomically subdivided into two main components. (i) A set of fronto-temporal neocortical regions, comprising left dorsolateral prefrontal cortex (DLPFC), approximately Brodmann area (BA) 44, 45, 46; the left MFC (BA 9); supplementary motor area (SMA, medial BA 6); and bilateral temporo-parietal regions, including middle and superior temporal, angular and supramarginal gyri (BA 21, 22, 39 and 40). (ii) A number of non-neocortical areas, including the left amygdala, the left hippocampal gyrus (BA 27 and 30), bilateral insulae and left striatum.

The autism group activated the frontal components less extensively than the control group; and did not activate the amygdala at all. As shown in Table 2, the control group demonstrated significantly greater power of response in the left amygdala, right insula and left inferior frontal gyrus. The autism group demonstrated significantly greater power of response in bilateral superior temporal gyrus (STG). For completeness, the main brain regions significantly activated by the theory of mind task are shown in Table 3 (control group) and Table 4 (autism group).

## Discussion

These results are a striking confirmation of Brothers' theory that extracting socially relevant information from visual stimuli is normally associated with activation of the STG, areas of prefrontal cortex<sup>5</sup>, and the amygdala. We next discuss each of these neural regions in turn, both in relation to normal functioning and to autism.

Regarding the left amygdala, this area may be critically involved in identifying mental state/emotional information from complex visual stimuli, e.g. the eye region. This laterality effect is consistent with previous studies: the left amygdala appears to be specifically activated in emotion processing (Ketter *et al.*, 1996; Morris *et al.*, 1996, but also see Breiter *et al.*, 1996; Phillips *et al.*, 1997). The autism group appears not to perform the task using the amygdala, but

instead places a greater processing load on temporal lobe structures, specialized for verbally labelling complex visual stimuli and processing faces and eyes. We interpret this as showing that people with autism may be solving the task using both language and facial memory functions, perhaps in compensation for an amygdala abnormality. Although it is known that the amygdala plays a role in the recognition of fear (Adolphs *et al.*, 1994; Calder *et al.*, 1996; Young *et al.*, 1996; Scott *et al.*, 1997), here we have also shown that it is involved in inference of a broader range of mental states, from the face and especially the eyes. We consider it unlikely that these results simply reflect emotion-processing or arousal, as the stimuli in the present study involve judging expressions of a broad range of mental states, many of which are not primarily emotional (e.g. interest, reflective, ignoring). Furthermore, whereas previous studies showing amygdala activation have involved passive perception of powerful emotional stimuli, our task involved an active judgement of a different kind: attribution of a mental state. This suggests that mental state concepts are processed in this region, both when the task involves inferring these from eyes, or other animate actions (Bonda *et al.*, 1996).

Regarding the left prefrontal regions, these may subserve the verbal working memory/central executive function (Frith *et al.*, 1991; D'Esposito *et al.*, 1995; Salmon *et al.*, 1996), entailed in matching words whilst observing the eyes. A previous study of autism suggested attenuated activation of MFC (Happé *et al.*, 1996). In the present study we also found that MFC was activated less extensively by the autism group, but this was not statistically significant.

Regarding the temporal regions, these may be involved in the processing of words and serve as a word store (Wise *et al.*, 1991), and in the processing of eyes (Perrett *et al.*, 1990). We consider that the STG activation seen in the theory of mind condition here is likely to reflect the processing of eyes and faces as it involved bilateral activity, whereas the processing of words would be more likely to have only activated STG lateralized to the left side.

The fundamental premise of this study is that social intelligence is modular or dissociable from general intelligence. More specifically, we have assumed that it will be possible to design a periodic contrast between two experimental conditions which differ exclusively in terms of social cognition, and that the experimental response to this design will be specific to elements of the 'social brain'. The validity of this set of assumptions is supported by the correspondence between our results in normal subjects and a prior model of the social brain (Brothers & Ring, 1992). But it may be instructive also to note some limitations and ambiguities inherent in our design.

The two contrasting conditions, although closely matched for stimulus frequency and motor response, may not have differed exclusively in terms of social cognition. For example, subjects may have attempted to solve the experimental problem of mental state assignment by retrieval from long-term memory, or by inducing in themselves the emotional states represented by the stimuli. The theory of mind task involved presentation of novel word pairs with

TABLE 3. Main brain regions activated by theory of mind task in the control group

| Voxels (n) | x   | y   | z   | Side | BA | Cerebral region          |
|------------|-----|-----|-----|------|----|--------------------------|
| 9          | -26 | -11 | -7  | L    |    | Amygdala                 |
| 1          | 20  | -8  | -7  | R    |    | Amygdala                 |
| 8          | -26 | -67 | 31  | L    | 19 | Angular gyrus            |
| 14         | 23  | -56 | -13 | R    |    | Cerebellum               |
| 4          | -14 | -78 | -13 | L    |    | Cerebellum               |
| 25         | 6   | 3   | 42  | R    | 24 | Cingulate gyrus          |
| 7          | -3  | 36  | -2  | L    | 24 | Cingulate gyrus          |
| 29         | -3  | -44 | 37  | L    | 31 | Cingulate gyrus          |
| 23         | 0   | -33 | 31  | R    | 31 | Cingulate gyrus          |
| 50         | 0   | 44  | 15  | R    | 32 | Cingulate gyrus          |
| 17         | 29  | -58 | -7  | R    | 19 | Fusiform gyrus           |
| 8          | -38 | -44 | -7  | L    | 36 | Fusiform gyrus           |
| 66         | -49 | 11  | 20  | L    | 6  | Inferior frontal gyrus   |
| 26         | 58  | 8   | 15  | R    | 6  | Inferior frontal gyrus   |
| 101        | -46 | 14  | 31  | L    | 44 | Inferior frontal gyrus   |
| 42         | 49  | 14  | 26  | R    | 44 | Inferior frontal gyrus   |
| 169        | -43 | 25  | 4   | L    | 45 | Inferior frontal gyrus   |
| 10         | 52  | 19  | 20  | R    | 45 | Inferior frontal gyrus   |
| 26         | -32 | -56 | 42  | L    | 19 | Inferior parietal lobule |
| 15         | 49  | -53 | -2  | R    | 37 | Inferior temporal gyrus  |
| 15         | 32  | -17 | 4   | R    | 72 | Insula                   |
| 4          | -35 | -17 | 9   | L    | 72 | Insula                   |
| 14         | 12  | -72 | -2  | R    | 18 | Lingual gyrus            |
| 10         | -3  | -86 | 4   | L    | 18 | Lingual gyrus            |
| 7          | 20  | -53 | -2  | R    | 19 | Lingual gyrus            |
| 33         | -14 | -31 | -2  | L    | 27 | Lingual gyrus            |
| 163        | 6   | 6   | 53  | R    | 6  | Medial frontal gyrus     |
| 13         | 0   | 47  | 9   | R    | 32 | Medial frontal gyrus     |
| 111        | -43 | 3   | 42  | L    | 6  | Middle frontal gyrus     |
| 37         | 38  | 6   | 37  | R    | 6  | Middle frontal gyrus     |
| 60         | -46 | 14  | 37  | L    | 9  | Middle frontal gyrus     |
| 73         | 32  | -72 | 4   | R    | 19 | Middle occipital gyrus   |
| 142        | 43  | -33 | 4   | R    | 21 | Middle temporal gyrus    |
| 16         | -46 | -22 | 37  | L    | 1  | Postcentral gyrus        |
| 4          | -23 | -44 | 59  | L    | 7  | Postcentral gyrus        |
| 8          | 35  | -22 | 53  | R    | 4  | Precentral gyrus         |
| 82         | -23 | -6  | 59  | L    | 6  | Precentral gyrus         |
| 6          | 52  | 6   | 9   | R    | 6  | Precentral gyrus         |
| 63         | -3  | -44 | 53  | L    | 7  | Precuneus                |
| 32         | 0   | -47 | 59  | R    | 7  | Precuneus                |
| 122        | -17 | 3   | -2  | L    |    | Putamen                  |
| 8          | 29  | -22 | -2  | R    |    | Putamen                  |
| 13         | -29 | 39  | 20  | L    | 10 | Superior frontal gyrus   |
| 7          | -32 | -56 | 48  | L    | 7  | Superior parietal lobule |
| 75         | -55 | -39 | 9   | L    | 22 | Superior temporal gyrus  |
| 42         | 52  | -50 | 15  | R    | 22 | Superior temporal gyrus  |
| 12         | 46  | 11  | -7  | R    | 38 | Superior temporal gyrus  |
| 22         | 40  | -58 | 20  | R    | 39 | Superior temporal gyrus  |
| 5          | -49 | -56 | 15  | L    | 39 | Superior temporal gyrus  |
| 8          | -35 | -25 | 15  | L    | 42 | Superior temporal gyrus  |
| 21         | -46 | -44 | 26  | L    | 40 | Supramarginal gyrus      |
| 22         | -14 | -11 | 15  | L    |    | Thalamus                 |
| 6          | 0   | -31 | 4   | R    |    | Thalamus                 |

each set of visual stimuli, whereas the same pair of short, high-frequency words ('male' and 'female') was repeatedly presented with each set of stimuli during the gender assignment task. It is thus possible that the experimental contrast could have caused periodic signal change in areas specialized for novelty detection, or differential engagement of language systems. Finally, the simultaneous presentation of visual and verbal stimuli, although necessary so that response during scanning could be monitored by forced choice button press, allows an important ambiguity. Do subjects match the eyes to associations or memories primarily induced by the words, or vice versa? In short, the design does not allow us to implicate a

TABLE 4. Main brain regions activated by theory of mind task in the autism group

| Voxels (n) | x   | y   | z   | Side | BA | Cerebral region         |
|------------|-----|-----|-----|------|----|-------------------------|
| 28         | 14  | -72 | -13 | R    | 71 | Cerebellum              |
| 8          | 17  | -53 | 9   | R    | 23 | Cingulate gyrus         |
| 7          | 3   | -33 | 31  | R    | 31 | Cingulate gyrus         |
| 15         | 3   | 19  | 31  | R    | 32 | Cingulate gyrus         |
| 30         | 20  | -81 | 9   | R    | 18 | Cuneus                  |
| 9          | 26  | -42 | -13 | R    | 36 | Fusiform gyrus          |
| 65         | -46 | 3   | 26  | L    | 6  | Inferior frontal gyrus  |
| 8          | -43 | 14  | 20  | L    | 45 | Inferior frontal gyrus  |
| 16         | -38 | 28  | 20  | L    | 46 | Inferior frontal gyrus  |
| 5          | -49 | -17 | -2  | L    | 21 | Insula                  |
| 8          | -23 | -75 | -2  | L    | 18 | Lingual gyrus           |
| 23         | -9  | 50  | 20  | L    | 9  | Medial frontal gyrus    |
| 37         | -43 | 8   | 37  | L    | 6  | Middle frontal gyrus    |
| 24         | -40 | 6   | 42  | L    | 9  | Middle frontal gyrus    |
| 16         | -38 | 28  | 26  | L    | 46 | Middle frontal gyrus    |
| 6          | -23 | -75 | 4   | L    | 18 | Middle occipital gyrus  |
| 16         | -49 | -42 | 9   | L    | 21 | Middle temporal gyrus   |
| 8          | -43 | -58 | 26  | L    | 39 | Middle temporal gyrus   |
| 8          | 40  | -31 | 59  | R    | 1  | Postcentral gyrus       |
| 4          | -43 | -11 | 42  | L    | 4  | Precentral gyrus        |
| 8          | -35 | -11 | 59  | L    | 6  | Precentral gyrus        |
| 9          | -14 | -44 | 53  | L    | 7  | Precuneus               |
| 63         | 6   | -53 | 59  | R    | 7  | Precuneus               |
| 43         | -46 | -39 | 15  | L    | 22 | Superior temporal gyrus |
| 9          | 43  | -31 | 15  | R    | 22 | Superior temporal gyrus |
| 19         | -49 | -17 | 9   | L    | 42 | Superior temporal gyrus |
| 6          | 55  | -11 | 9   | R    | 42 | Superior temporal gyrus |
| 4          | -52 | -47 | 26  | L    | 40 | Supramarginal gyrus     |
| 5          | 55  | -39 | 26  | R    | 40 | Supramarginal gyrus     |

(i) For brevity here, we refer to the autism group, this includes patients with high functioning autism. (ii) We emphasize the amygdala theory of autism, and it might be thought that this is too narrow, because some of the lines of evidence cited here implicate temporal lobe structures more widely, which include the amygdala but also include other adjacent mesiotemporal areas. To the extent that the results reported later support the amygdala theory, it remains for future work to establish the specificity of this finding. (iii) A secondary difference between tasks A and B is that in A the same words (male, female) always appear, whilst in B different words (describing a range of mental states) appear. This is inevitable if one uses the same pictorial stimuli in both tasks, whilst varying the social judgement required. However, we cannot see any reason why this factor should explain the results. (iv) In fact, 51 voxels were found to have significantly greater power of response to the theory of mind task in controls compared with autistics; and seven voxels had significantly greater power of response in autistics compared with controls. (v) We have no strong evidence for OFC activation in these data. This may reflect magnetic susceptibility artefacts induced by the proximity of frontal bone and air spaces.

particular modality of stimulation (visual or verbal) in experimental activation of the social brain. Several of these problems are typical of periodic or subtraction designs generally, and it will be important in future work to consider so-called parametric experimental designs, in which a single task is presented at continuously variable levels of difficulty during fMRI data acquisition.

Abnormalities of functional activation by patient groups have often been attributed simply to failure of the patients to perform the task. This seems an inadequate explanation of our findings as the patients performed both tasks better than chance during scanning and had no difficulty in comprehending examples of mental state adjectives presented to them before scanning. However, a number of possible interpretations remain open. It could be that patients with autism have a general deficit in emotional processing, rather than specifically emotional processing to inform mental state assignment. Such a possibility is attractive



simply because it is known that the amygdala responds to fearful faces (Breiter *et al.*, 1996; Morris *et al.*, 1996), and that such amygdala activity occurs regardless of whether the subjects are aware of the face (Whalen *et al.*, 1998) or aware that different facial expressions were critical to the study (Morris *et al.*, 1996). However, because we regard emotional processing as part of social intelligence, this interpretation is a refinement rather than a contradiction of our preferred interpretation that autistic patients fail to activate the social brain. Furthermore, whilst this might be part of the explanation, it cannot be sufficient, as some of the expressions were of non-affective mental states (e.g. 'reflective').

A more problematic alternative is that the patients with autism may in fact activate the social brain, but under both experimental conditions. This pattern of response would not engender periodic signal change and cannot be excluded on the basis of these data. However, even if it were true that the subjects with autism promiscuously activated the social brain under both conditions, this would still constitute interesting evidence for abnormal modularity or modularization (Karmiloff-Smith, 1992) of social intelligence in autism. Here we use the term modularity not in the strong Fodorian (Fodor, 1983) sense, but in a weaker sense (Baron-Cohen, 1994; *in press*). Against this, however, analysis of the individual subject scans in each condition shows little if any evidence of amygdala activity in the volunteers with autism, which renders the amygdala theory of autism quite plausible.

A further alternative account of the present results might be that people with autism have simply had less experience of the relevant mental states or attitudes being expressed towards them. This also seems unlikely, in relation to states, e.g. 'sympathy', 'reflective', 'sad thought' and 'friendly'. These are not rare sorts of expressions, and there is no reason to expect that others would not have shown such attitudes towards the subjects in both groups equally. Of course, none of these alternative explanations rules out that the subjects with autism might not understand such concepts and expressions less well than controls, but that is precisely the hypothesis that was tested.

Three final alternative accounts might be that eye-movements made by subjects with autism during task B might have differed significantly in comparison with task A. We cannot see why the stimuli in tasks A and B might have provoked different patterns of eye-movement/visual scanning, as the stimuli were identical in both conditions. However, this remains a small possibility as it may be that when one understands a visual scene less well, one scans it less. This should be checked in future studies. A vague and untestable account might be that the autistic group simply expends less 'effort' in attempting to solve such tasks. We do not consider this further as this could never be determined, and in any case would not necessarily be independent of a comprehension deficit.

Future studies are also needed as a task like this could be dismantled into multiple, simpler mental elements. First, patients with autism should be presented with the eyes and no words, and vice versa, to establish which neural activations are due to these two separate factors. Secondly, it will be important to attempt to activate the amygdala in these patients, using a range of cognitive paradigms, to test if the present results reflect a general hypofunctioning of this structure, or whether this is specific to tasks involving inferring mental state. Converging evidence from another social intelligence task will also be important, as the above study employs just one such task. But the present study provides strong evidence of the role of the amygdala in normal social intelligence, and abnormality of the amygdala in autism.

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## Abbreviations

AS, Asperger syndrome; BA, Brodmann area; DLPFC, dorsolateral prefrontal cortex; fMRI, functional magnetic resonance imaging; FPQ, fundamental power quotient; MFC, medial frontal cortex; OFC, orbito-frontal cortex; SMA, supplementary motor area; STG, superior temporal gyrus.

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